

# Synchrotron (Undulator / Wiggler) Radiation Simulation with SRW



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**BROOKHAVEN**  
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# Emission by a Relativistic Charged Particle in Free Space: Retarded Potentials Approach

$$\vec{A} = e \int_{-\infty}^{+\infty} \vec{\beta}_e R^{-1} \delta(\tau - t + R/c) d\tau, \quad \varphi = e \int_{-\infty}^{+\infty} R^{-1} \delta(\tau - t + R/c) d\tau \quad (\text{Gaussian CGS})$$

$$\Downarrow \quad \delta(t') = (1/2\pi) \int_{-\infty}^{+\infty} \exp(i\omega t') d\omega$$

$$\vec{A} = \frac{e}{2\pi} \int_{-\infty}^{+\infty} \exp(-i\omega t) d\omega \int_{-\infty}^{+\infty} \vec{\beta}_e R^{-1} \exp[i\omega(\tau + R/c)] d\tau$$

$$\varphi = \frac{e}{2\pi} \int_{-\infty}^{+\infty} \exp(-i\omega t) d\omega \int_{-\infty}^{+\infty} R^{-1} \exp[i\omega(\tau + R/c)] d\tau$$

Ternov used this approach to derive far-field SR expressions

$$\vec{E} = -\frac{1}{c} \frac{\partial \vec{A}}{\partial t} - \nabla \varphi = \frac{ie}{2\pi c} \int_{-\infty}^{+\infty} \omega \cdot \exp(-i\omega t) d\omega \int_{-\infty}^{+\infty} [\vec{\beta}_e - [1 + ic/(\omega R)] \cdot \vec{n}] R^{-1} \exp[i\omega(\tau + R/c)] d\tau$$

$$\Downarrow \quad \vec{E}_\omega \equiv \int_{-\infty}^{+\infty} \vec{E} \exp(i\omega t) dt$$

Exact expression, valid in the Near Field:

$$\vec{E}_\omega = iec^{-1} \omega \int_{-\infty}^{+\infty} [\vec{\beta}_e - [1 + ic/(\omega R)] \cdot \vec{n}] R^{-1} \exp[i\omega(\tau + R/c)] d\tau \quad (\checkmark)$$

The equivalence of (✓) to the well-known expression of Jackson can be shown by integration by parts

$$\vec{E}_\omega = ec^{-1} \int_{-\infty}^{+\infty} \frac{\vec{n} \times [(\vec{n} - \vec{\beta}_e) \times \dot{\vec{\beta}}_e] + cR^{-1} \gamma^{-2} (\vec{n} - \vec{\beta}_e)}{R \cdot (1 - \vec{n} \cdot \vec{\beta}_e)^2} \cdot \exp[i\omega(\tau + R/c)] d\tau$$

# Emission by a Relativistic Charged Particle Efficient Computation

Exact expression obtained from Retarded Potentials:

$$\vec{E}_\omega = iec^{-1}\omega \int_{-\infty}^{+\infty} [\vec{\beta}_e - [1 + ic/(\omega R)] \cdot \vec{n}] R^{-1} \exp[i\omega(\tau + R/c)] d\tau$$

Phase expansion valid in the Near Field:

$$\omega \cdot (\tau + R/c) \approx \Phi_0 + \frac{\pi}{\lambda} \left[ s\gamma^{-2} + \int_0^s |\vec{\beta}_{e\perp}|^2 d\tilde{s} + \frac{(x-x_e)^2 + (y-y_e)^2}{z-s} \right]$$

Particle dynamics in external magnetic field:

$$\vec{r}_e = \vec{r}_e(s, \vec{r}_{e0}, \vec{\beta}_{e0}); \quad \vec{\beta}_e \approx d\vec{r}_e/ds$$

Asymptotic expansion of the radiation integral (to accelerate computation):

$$\int_{-\infty}^{+\infty} F \exp(i\Phi) ds = \int_{s_1}^{s_2} F \exp(i\Phi) ds + \int_{-\infty}^{s_1} F \exp(i\Phi) ds + \int_{s_2}^{+\infty} F \exp(i\Phi) ds$$

$$\int_{-\infty}^{s_1} F \exp(i\Phi) ds + \int_{s_2}^{+\infty} F \exp(i\Phi) ds \approx \left[ \left( \frac{F}{i\Phi'} + \frac{F'\Phi' - F\Phi''}{\Phi'^3} + \dots \right) \exp(i\Phi) \right]_{s_2}^{s_1}$$

# Temporally-Incoherent and Coherent Spontaneous Emission by Many Electrons

**Electron Dynamics:**

$$\begin{pmatrix} x_e \\ y_e \\ z_e \\ \beta_{xe} \\ \beta_{ye} \\ \delta\gamma_e \end{pmatrix} = \mathbf{A}(\tau) \begin{pmatrix} x_{e0} \\ y_{e0} \\ z_{e0} \\ x'_{e0} \\ y'_{e0} \\ \delta\gamma_{e0} \end{pmatrix} + \mathbf{B}(\tau) \quad \leftarrow \text{Initial Conditions}$$

**Spectral Photon Flux per unit Surface** emitted by the **whole Electron Beam:**

$$\frac{dN_{ph}}{dtdS(d\omega/\omega)} = \frac{c^2 \alpha I}{4\pi^2 e^3} \langle |\vec{E}_\omega|^2 \rangle$$

**"Incoherent" SR**

$$\langle |\vec{E}_\omega|^2 \rangle = \int \left| \vec{E}_{\omega 0}(\vec{r}; x_{e0}, y_{e0}, z_{e0}, x'_{e0}, y'_{e0}, \delta\gamma_{e0}) \right|^2 f(x_{e0}, y_{e0}, z_{e0}, x'_{e0}, y'_{e0}, \delta\gamma_{e0}) dx_{e0} dy_{e0} dz_{e0} dx'_{e0} dy'_{e0} d\delta\gamma_{e0} +$$

$$+ (N_e - 1) \left| \int \vec{E}_{\omega 0}(\vec{r}; x_{e0}, y_{e0}, z_{e0}, x'_{e0}, y'_{e0}, \delta\gamma_{e0}) f(x_{e0}, y_{e0}, z_{e0}, x'_{e0}, y'_{e0}, \delta\gamma_{e0}) dx_{e0} dy_{e0} dz_{e0} dx'_{e0} dy'_{e0} d\delta\gamma_{e0} \right|^2$$

**Coherent SR**

Common Approximation for CSR: **"Thin" Electron Beam:**  $\langle |\vec{E}_\omega|^2 \rangle_{CSR} \approx N_e \left| \int_{-\infty}^{\infty} \tilde{f}(z_{e0}) \exp(ikz_{e0}) dz_{e0} \right|^2 |\vec{E}_{\omega 1}|^2$

For Gaussian Longitudinal Bunch Profile:  $\langle |\vec{E}_\omega|^2 \rangle_{CSR} \approx N_e \exp(-k^2 \sigma_b^2) |\vec{E}_{\omega 1}|^2$

If  $f(x_{e0}, y_{e0}, z_{e0}, x'_{e0}, y'_{e0}, \delta\gamma_{e0})$  is Gaussian, 6-fold integration over electron phase space can be done analytically for the (Mutual) Intensity of Incoherent SR and for the Electric Field of CSR

# Self-Amplified Spontaneous Emission Described by Paraxial FEL Equations

## Approximation of Slowly Varying Amplitude of Radiation Field

Particles' dynamics  
in undulator and radiation fields  
(averaged over many periods):

$$\frac{d\theta}{dz} = k_u - k_r \frac{1 + p_{\perp}^2 + a_u^2 - 2a_r a_u \cos(\theta + \phi_r)}{2\gamma^2}$$

$$\frac{d\gamma}{dz} = -\frac{k_r f_c a_r a_u}{\gamma} \sin(\theta + \phi_r)$$

$$\frac{d\vec{p}_{\perp}}{dz} = -\frac{1}{2\gamma} \frac{\partial a_u^2}{\partial \vec{r}_{\perp}} + \mathbf{k}_{foc} \vec{r}_{\perp}$$

$$\frac{d\vec{r}_{\perp}}{dz} = \frac{\vec{p}_{\perp}}{\gamma}$$

Paraxial wave equation  
with current:

$$\left[ 2ik_r \frac{\partial}{\partial z} + \nabla_{\perp}^2 \right] a_r \exp(i\phi_r) = -\frac{e\epsilon_0 I f_c a_u}{mc} \left\langle \frac{\exp(-i\theta)}{\gamma} \right\rangle$$

W.B.Colson  
J.B.Murphy  
C.Pellegrini  
E.Saldin  
E.Bessonov  
et. al.

Solving this system gives Electric Field at the FEL exit for one "Slice":  $E_{slice}|_{z=z_{exit}} \sim a_r \exp(i\phi_r)|_{z=z_{exit}}$

Loop on "Slices" (copying Electric Field to a next slice from previous slice, starting from back)

Popular TD 3D FEL computer code: **GENESIS** (S.Reiche)

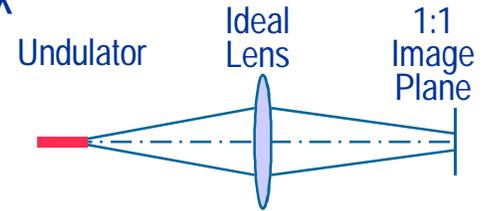
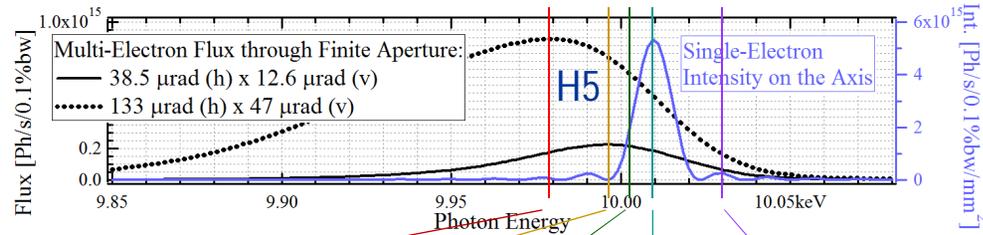
One run provides Time-Domain Electric Field in transverse plane at FEL exit:  $E(x, y, z_{exit}, t)$

Electric Field in **Frequency** domain:  $\vec{E}(\vec{r}, \omega) \equiv \int_{-\infty}^{\infty} \vec{E}(\vec{r}, t) \exp(i\omega t) dt$

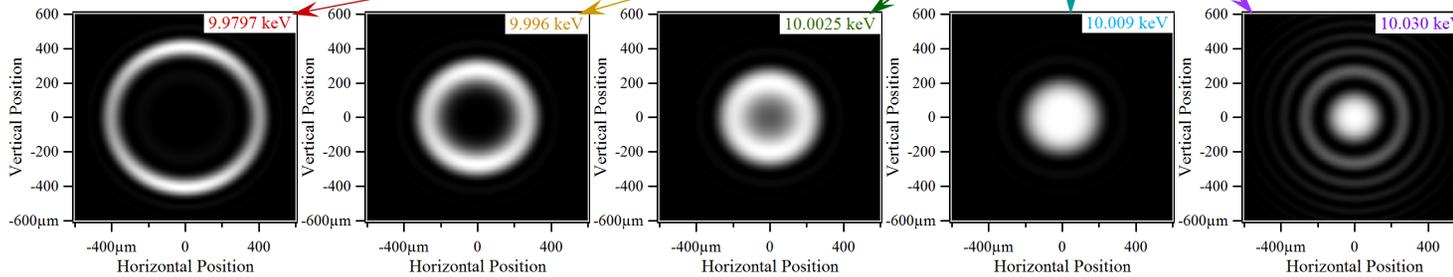
# Single-Electron (Fully Transversely-Coherent) UR Intensity Distributions "in Far Field" and "at Source"

## UR "Single-Electron" Intensity and "Multi-Electron" Flux

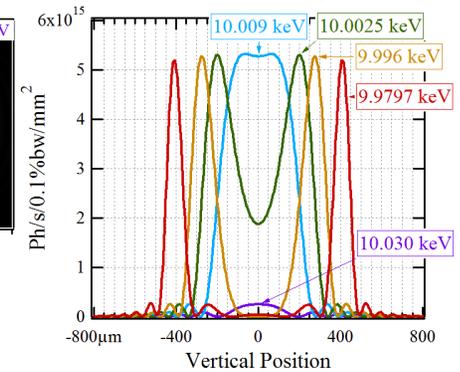
E-Beam Energy: 3 GeV  
Current: 0.5 A  
Undulator Period: 20 mm



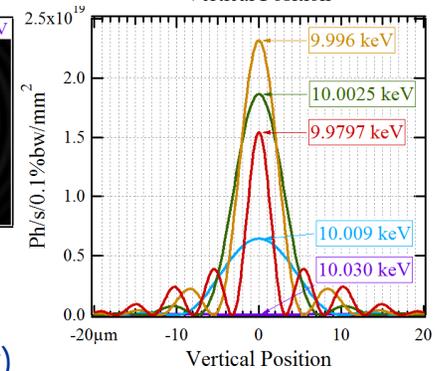
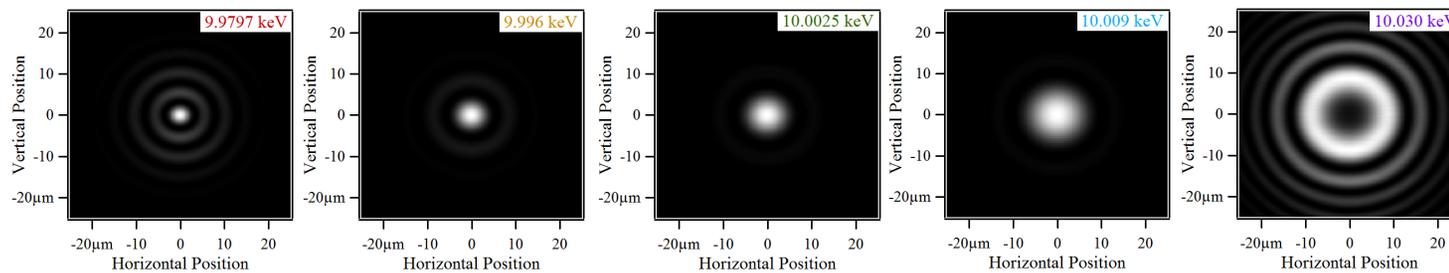
## Intensity Distributions at 30 m from Undulator Center



## Vertical Cuts (x = 0)



## Intensity Distributions in 1:1 Image Plane



## "Phase-Space Volume" Estimation for Vertical Plane

(RMS sizes/divergences calculated for the portions of intensity distributions containing 95% of flux)

$$\sigma_y \sigma_y' \approx 7.7 \frac{\lambda}{4\pi}$$

$$3.3 \frac{\lambda}{4\pi}$$

$$1.9 \frac{\lambda}{4\pi}$$

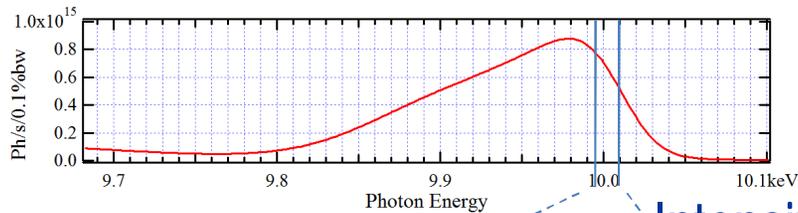
$$1.5 \frac{\lambda}{4\pi}$$

$$9.2 \frac{\lambda}{4\pi}$$

# Estimation of X-Ray Beam Angular Divergence and Source Size by Wavefront Propagation

## IVU20-3m Spectral Flux

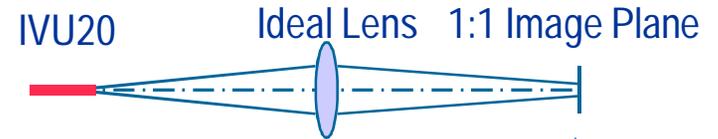
through 100  $\mu\text{m}$  (H) x 50  $\mu\text{m}$  (V) Aperture at K $\sim$ 1.5 providing H5 peak at  $\sim$ 10 keV



Electron Beam:

Hor. Emittance: 0.9 nm  
Vert. Emittance: 8 pm  
Energy Spread:  $8.9 \times 10^{-4}$   
Current: 0.5 A  
Low-Beta Straight

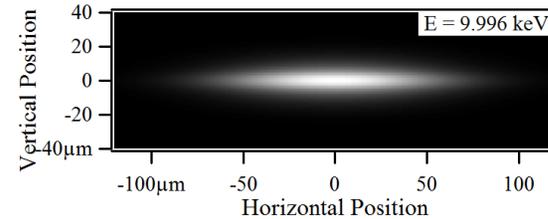
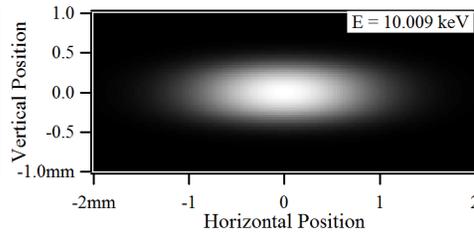
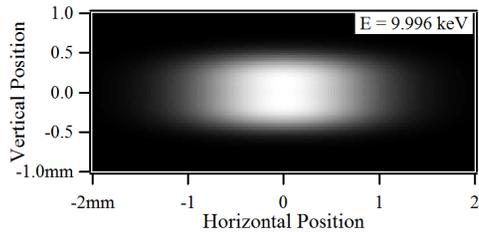
## Test Optical Scheme



## Intensity Distributions at $\sim$ 10 keV

At 30-m from Undulator

In 1:1 Image Plane

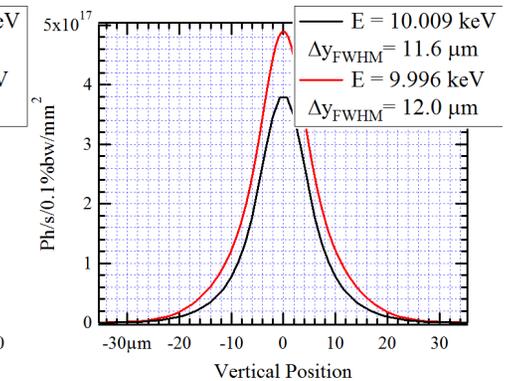
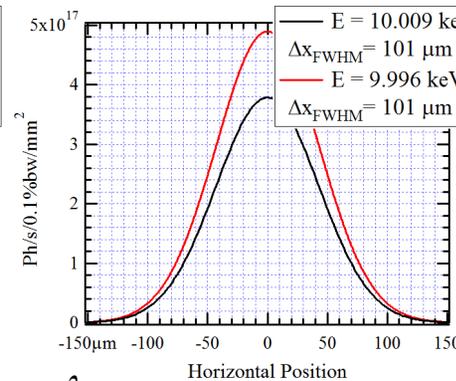
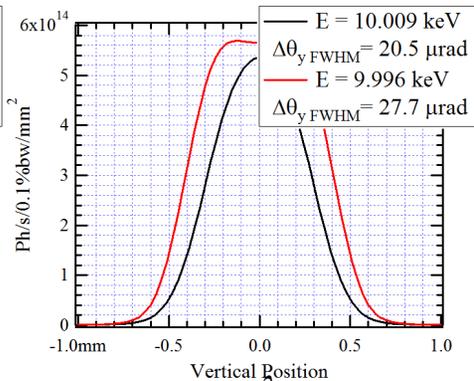
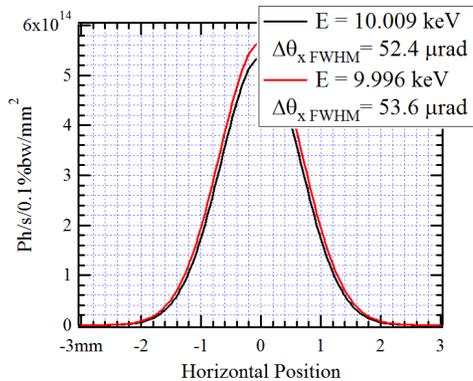


### Horizontal Cuts (y = 0)

### Vertical Cuts (x = 0)

### Horizontal Cuts (y = 0)

### Vertical Cuts (x = 0)



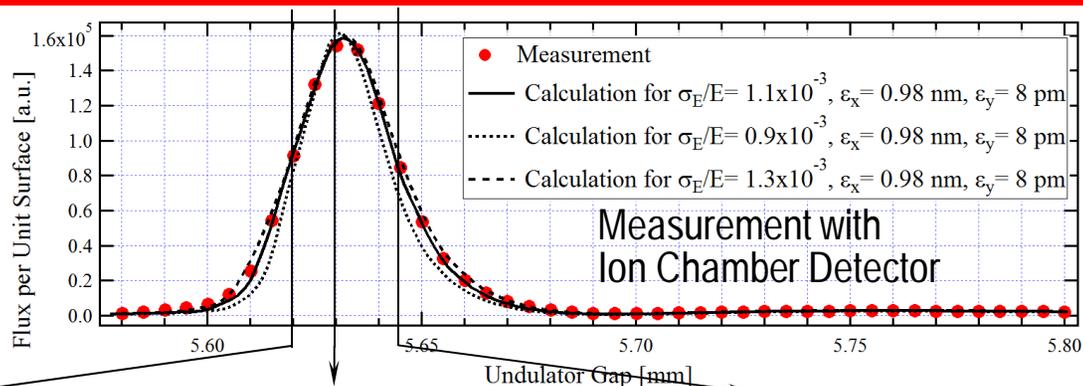
$$\sigma_x \sigma_x' \approx 97 \frac{\lambda}{4\pi}; \quad \sigma_y \sigma_y' \approx 5.7 \frac{\lambda}{4\pi}$$

...very far from Coherent Gaussian Beam!

# On-Axis "Gap Spectrum" and Intensity Distributions of Radiation from IVU20 at HXN Beamline (I)

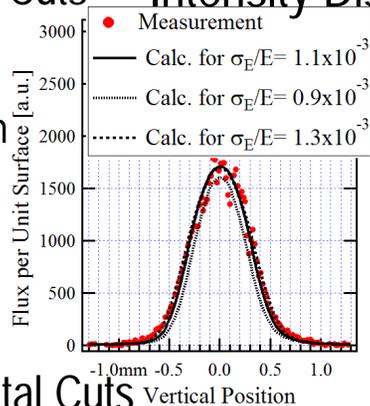
## On-Axis Gap Spectrum at ~8.0 keV Photon Energy (5<sup>th</sup> Harmonic)

Undulator:  $\lambda_u = 20$  mm,  $L_u = 3$  m  
 Low-Beta Straight Section of NSLS-II:  
 $\beta_x = 1.84$  m ( $\sigma_x' = 22$   $\mu$ rad at  $\epsilon_x = 0.9$  nm)  
 $\beta_y = 1.17$  m ( $\sigma_y' = 2.6$   $\mu$ rad at  $\epsilon_y = 8$  pm)

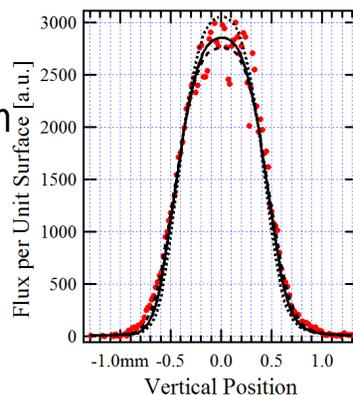


## Vertical Cuts Intensity Distributions at 5<sup>th</sup> Harmonic at Different Undulator Gaps at 30.4 m

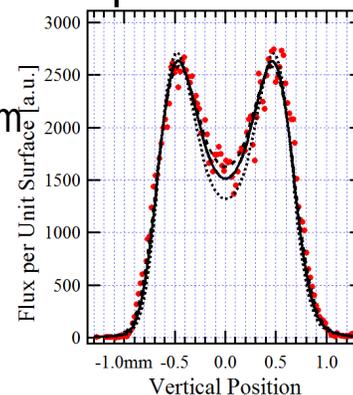
( $x = 0$ )  
 Gap: 5.62 mm



Gap: 5.63 mm

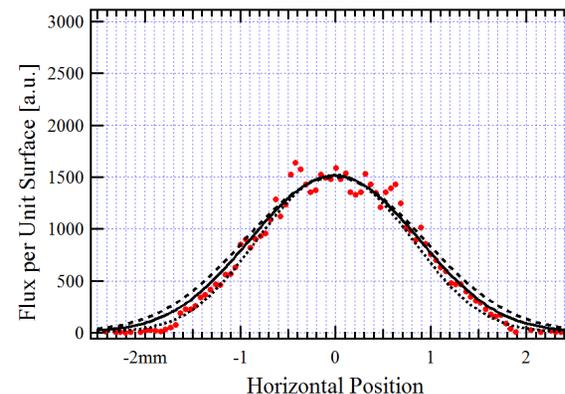
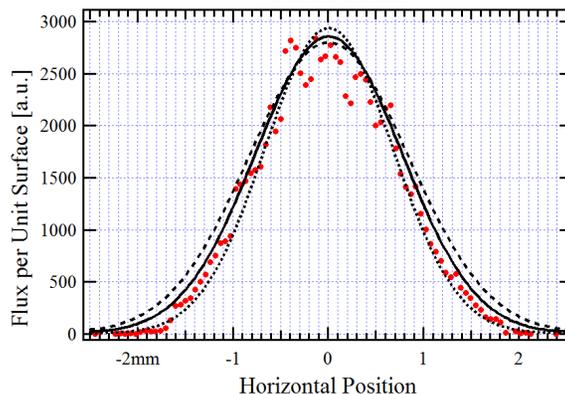
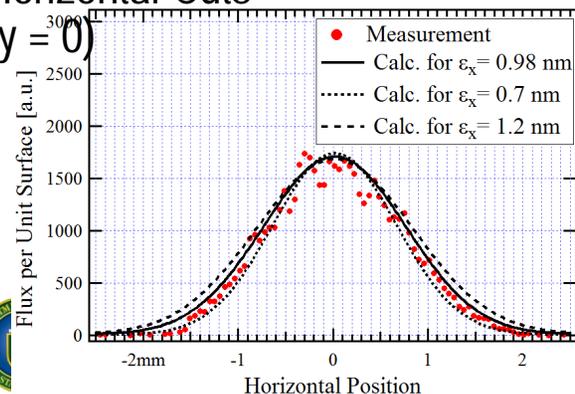


Gap: 5.645 mm



## Horizontal Cuts

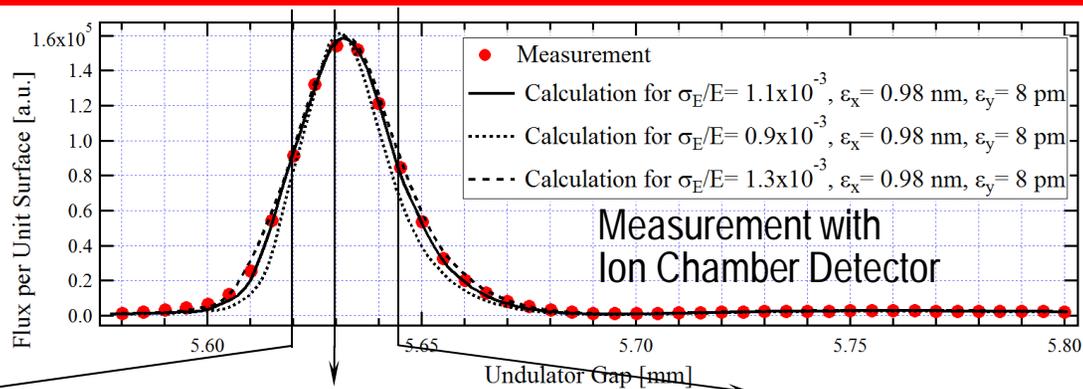
( $y = 0$ )



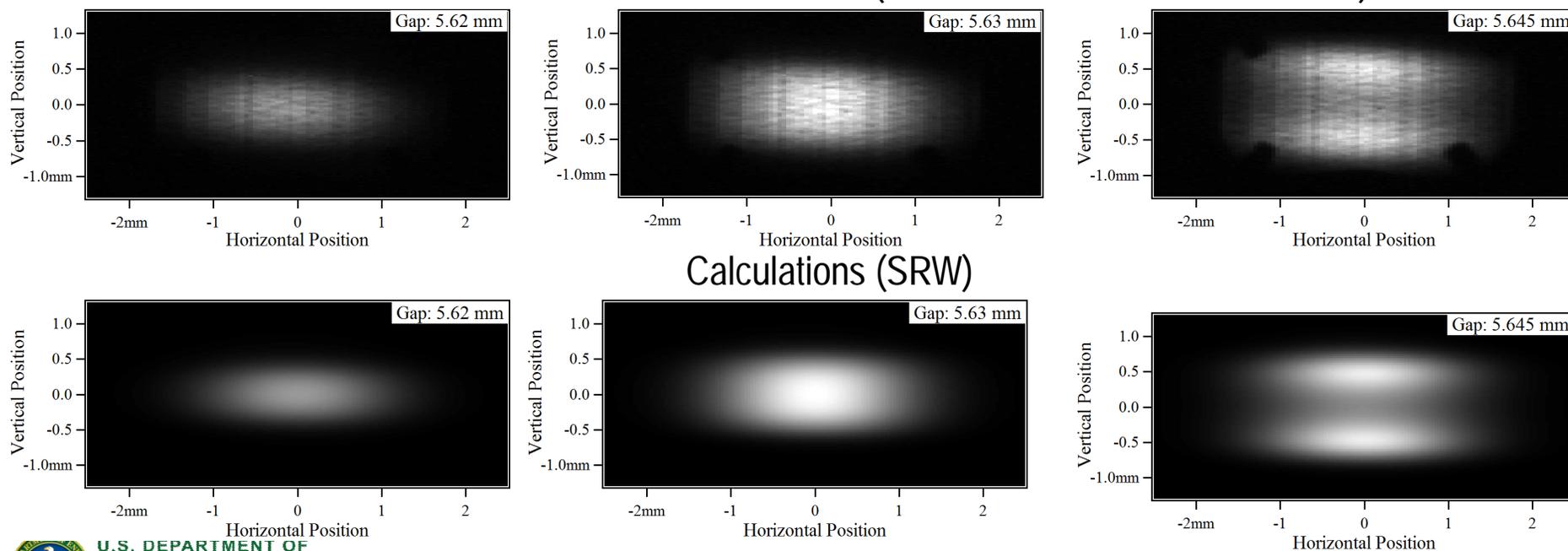
# On-Axis "Gap Spectrum" and Intensity Distributions of Radiation from IVU20 at HXN Beamline (II)

On-Axis Gap Spectrum  
at ~8.0 keV Photon Energy  
(5<sup>th</sup> Harmonic)

Undulator:  $\lambda_u = 20$  mm,  $L_u = 3$  m  
Low-Beta Straight Section of NSLS-II:  
 $\beta_x = 1.84$  m ( $\sigma_x' = 22$   $\mu$ rad at  $\epsilon_x = 0.9$  nm)  
 $\beta_y = 1.17$  m ( $\sigma_y' = 2.6$   $\mu$ rad at  $\epsilon_y = 8$  pm)



Intensity Distributions at 5<sup>th</sup> Harmonic at Different Undulator Gaps at 30.4 m  
Measurements after Monochromator (Scintillator Screen + Lens + CCD)



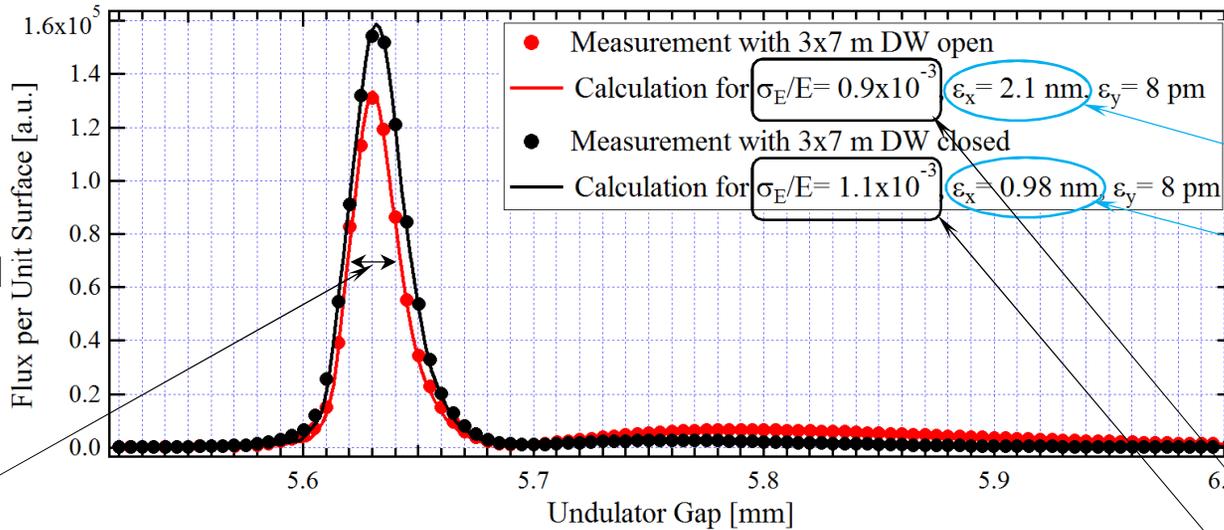
# IVU20 (HXN) On-Axis "Gap Spectra" with Damping Wiggler Gaps "Open" and "Closed"

$E_{ph} \approx 8.0$  keV  
5<sup>th</sup> UR Harm.

Low-Beta Straight  
Section of NSLS-II  
 $\beta_x = 1.84$  m  
 $\beta_y = 1.17$  m

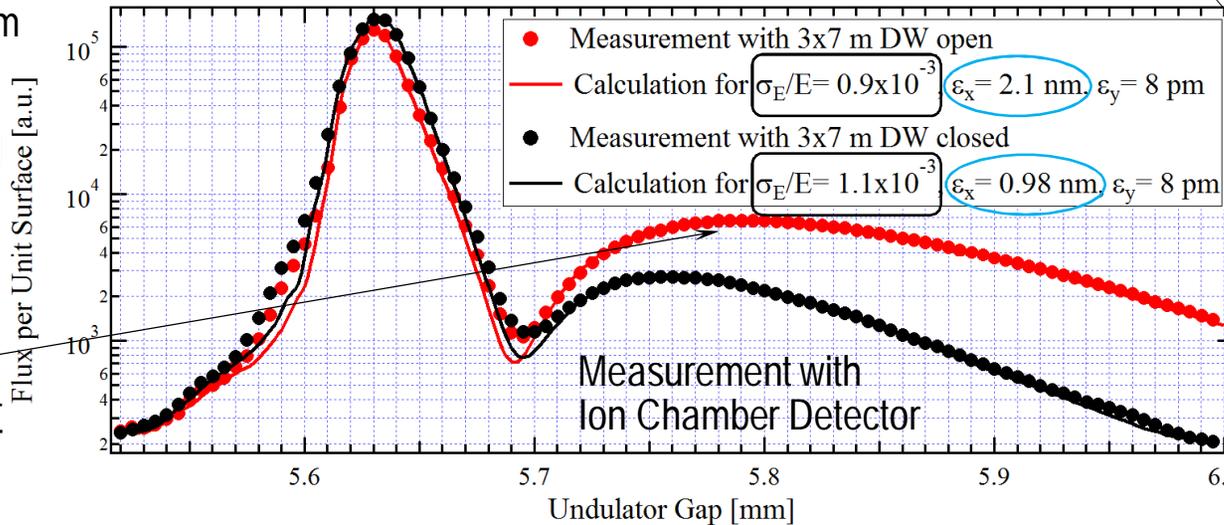
Harmonic width is sensitive to e-beam Energy Spread (and other factors, e.g. undulator field quality)

Intensity in "Side Lobe" is sensitive to e-beam Horizontal Angular Divergence



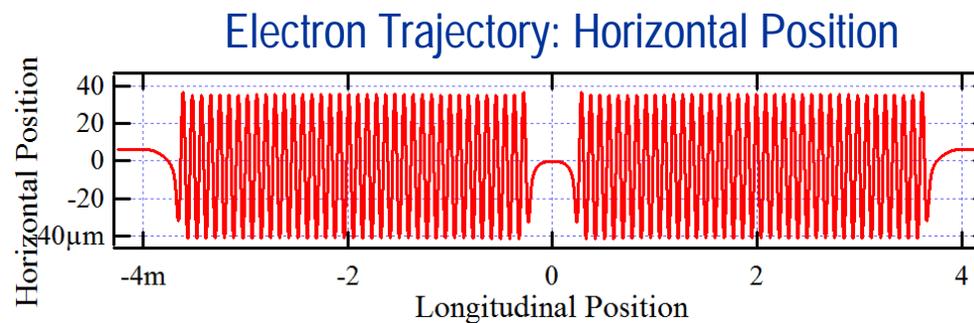
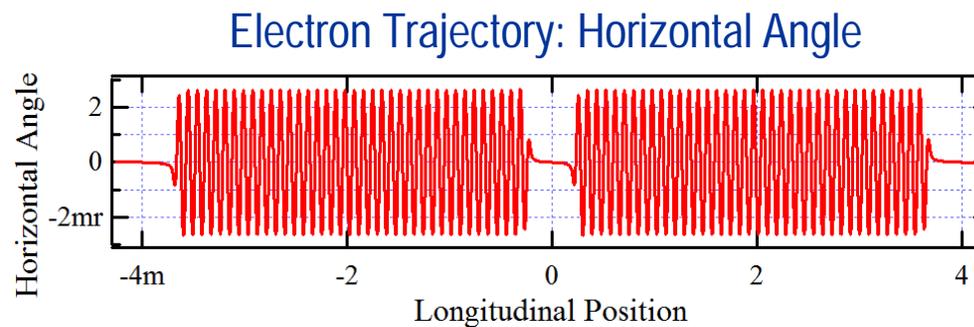
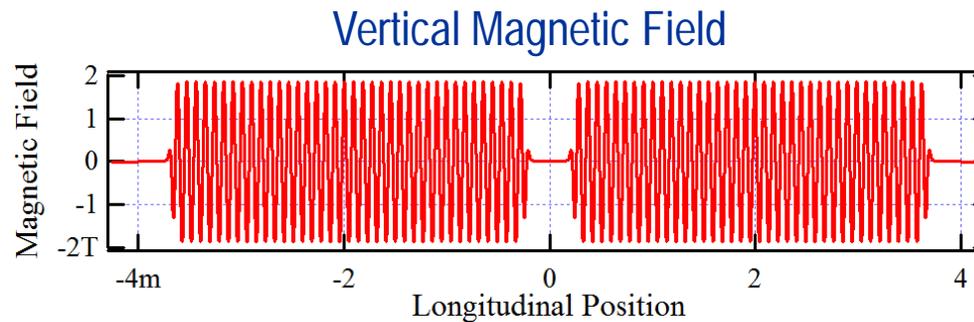
Good Agreement with Accelerator Physics data:  
 $\epsilon_x = 2.1$  nm for Bare Lattice,  
 $\epsilon_x = 0.9$  nm with 3x7 m DW closed

~Poor Agreement with Accelerator Physics data:  
 $\sigma_E/E = 0.5 \times 10^{-3}$  for Bare Lattice,  
 $\sigma_E/E = 0.9 \times 10^{-3}$  with 3x7 m DW closed



UR based e-beam diagnostics was used at ESRF (P. Elleaume et al.) and at APS (A. Lumpkin, E. Gluskin et al.)

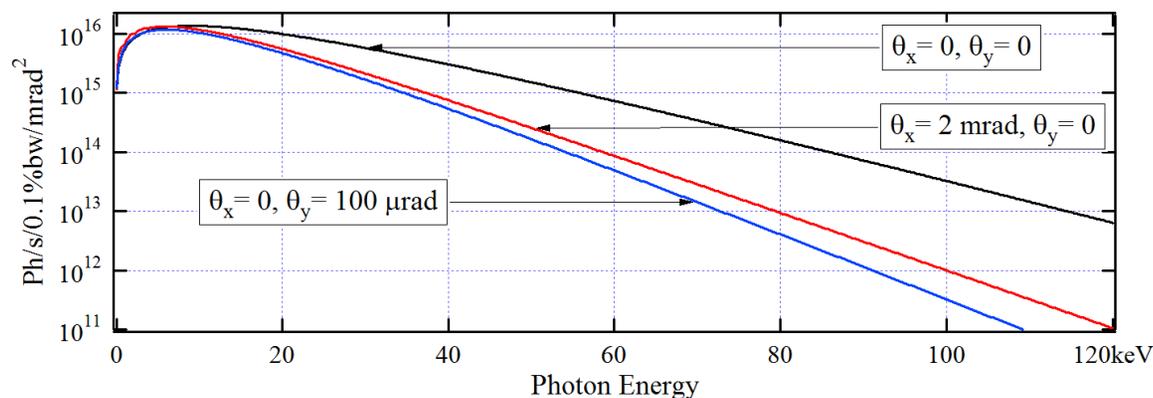
# "Inline" Configuration of 2 x 3.5 m Damping Wigglers in High-Beta Straight Section of NSLS-II



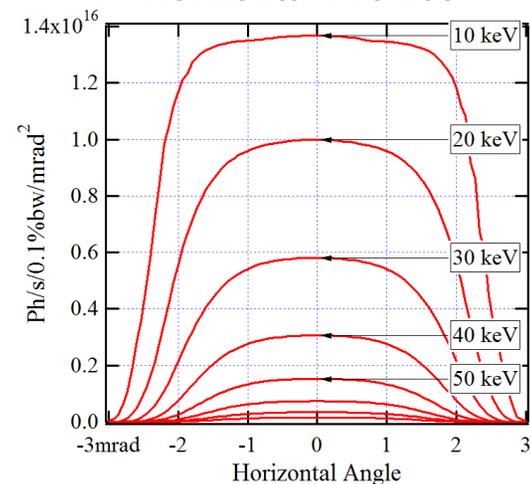
# Spectral-Angular Distributions of Emission from 2 x 3.5 m Long Damping Wiggler in "Inline" Configuration

## Angular Profiles of DW Emission at Different Photon Energies

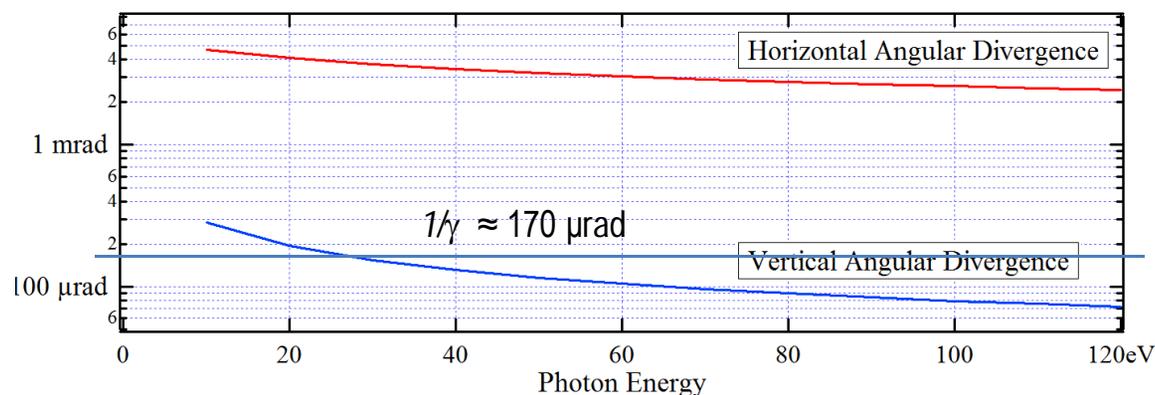
### Spectral Flux per Unit Solid Angle



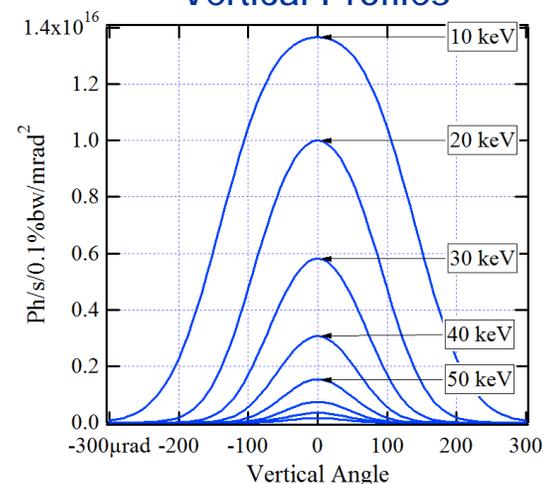
### Horizontal Profiles



### FWHM Angular Divergence of DW Emission

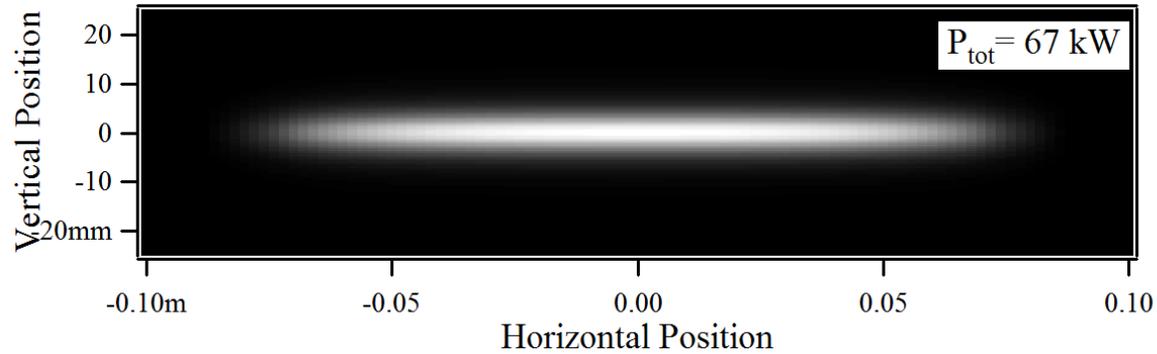


### Vertical Profiles

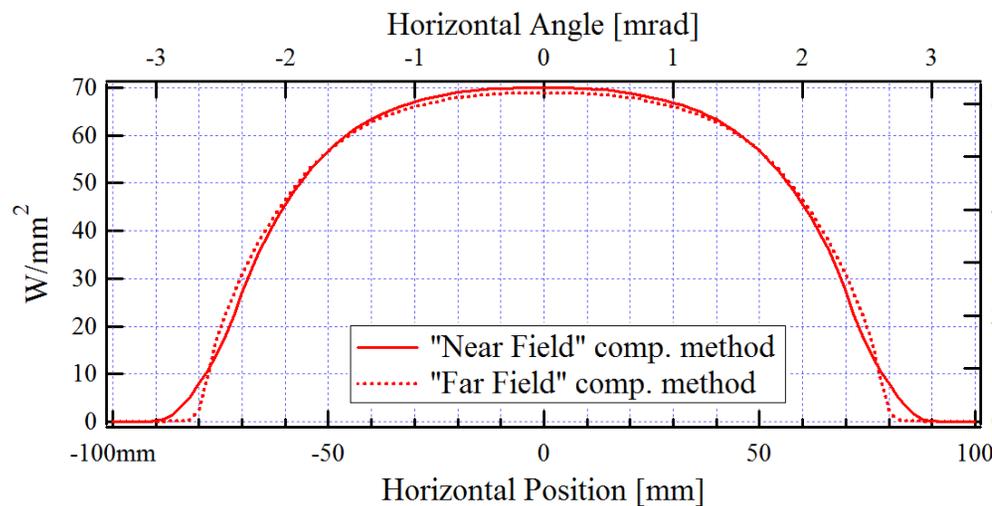


# Power Density Distributions of DW90 Emission

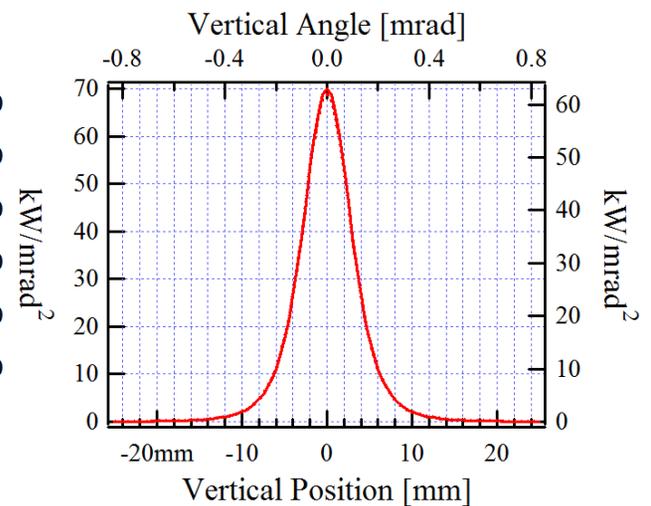
## Power Density Distribution in Transverse Plane at 30 m from Center of Straight Section



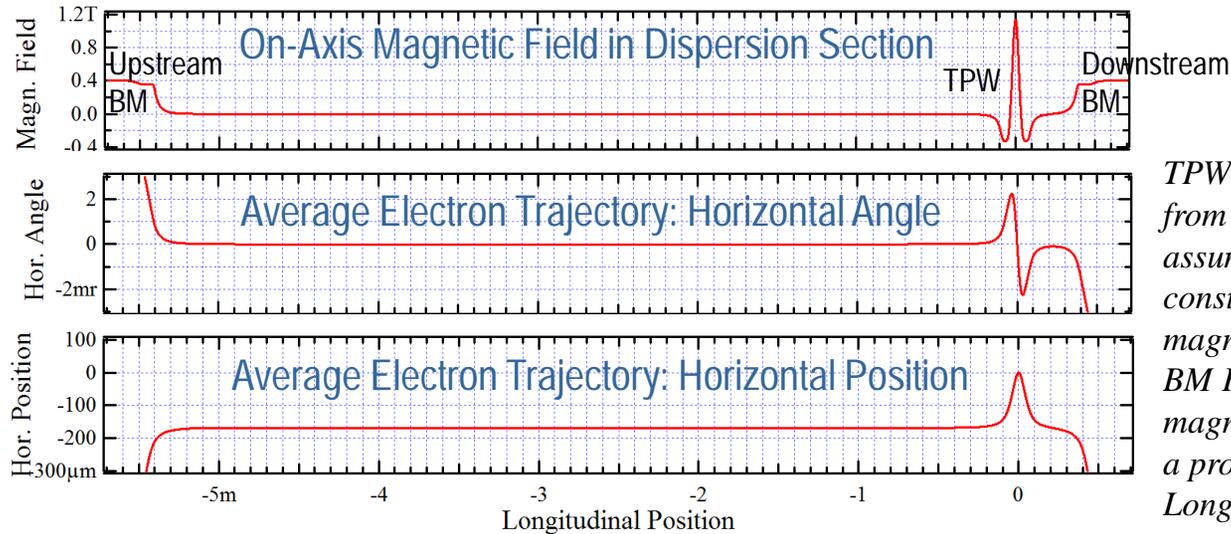
### Horizontal Cut ( $y = 0$ )



### Vertical Cut ( $x = 0$ )

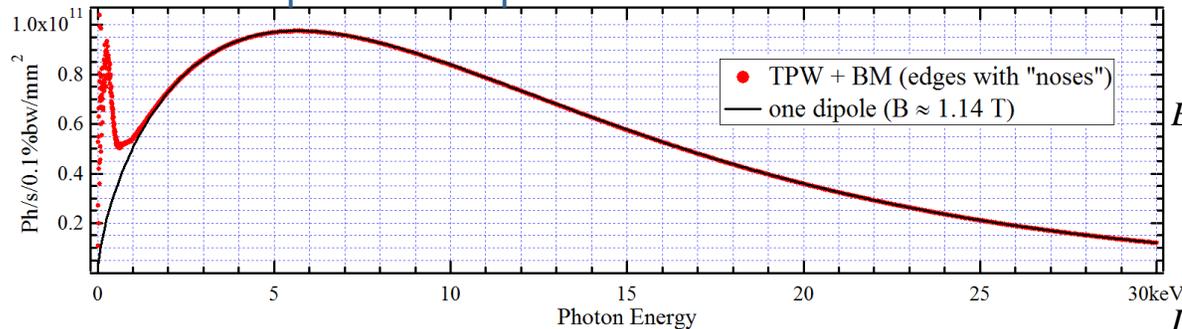


# TPW: Magnetic Field, Electron Trajectory and Spectra (in presence of Bending Magnets)



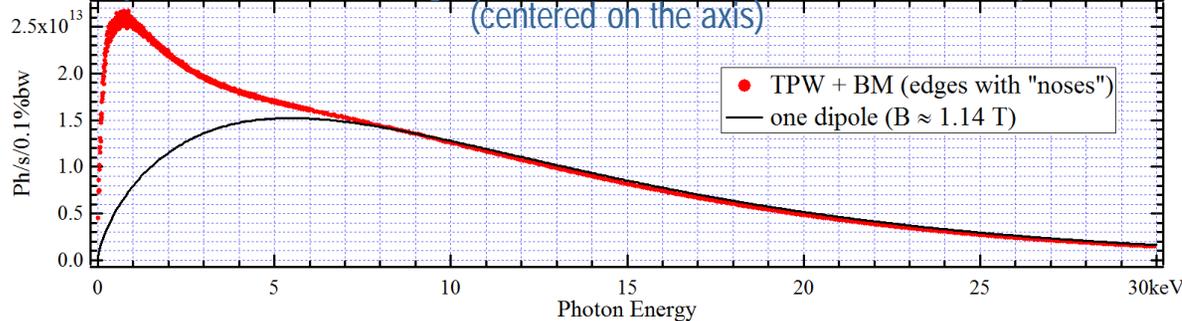
*TPW Field taken from magnetic simulations, assuming that TPW will be constructed out of spare DW magnets;  
BM Field is taken from magnetic measurements on a prototype BM with "nose";  
Longitudinal Positions are Approximate (+/- 10 cm)*

## On-Axis Spectral Flux per Unit Surface at 30 m from TPW



*Electron Energy: 3 GeV  
Current: 0.5 A  
Hor. Emittance: 0.55 nm  
Vert. Emittance: 8 pm*

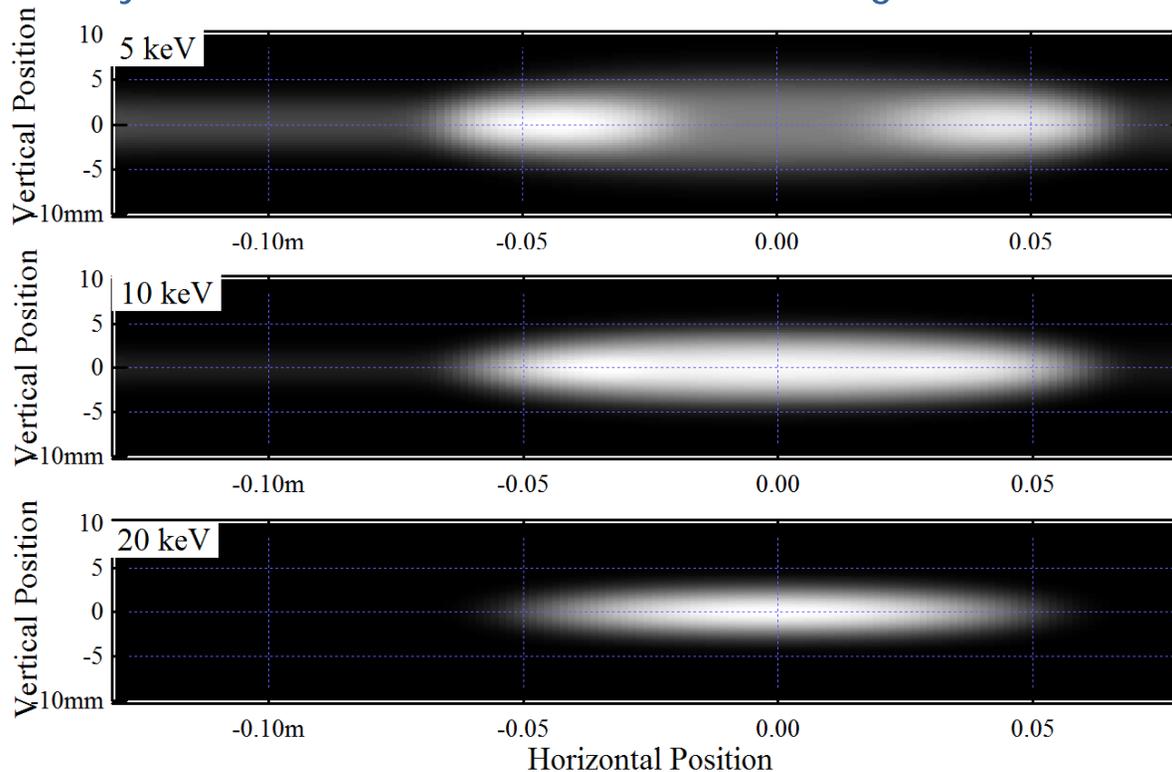
## Spectral Flux through 1.75 mrad (H) x 0.1 mrad (V) Aperture (centered on the axis)



*Initial Conditions:  
<x> = 0, <x'> = 0 in TPW  
Center*

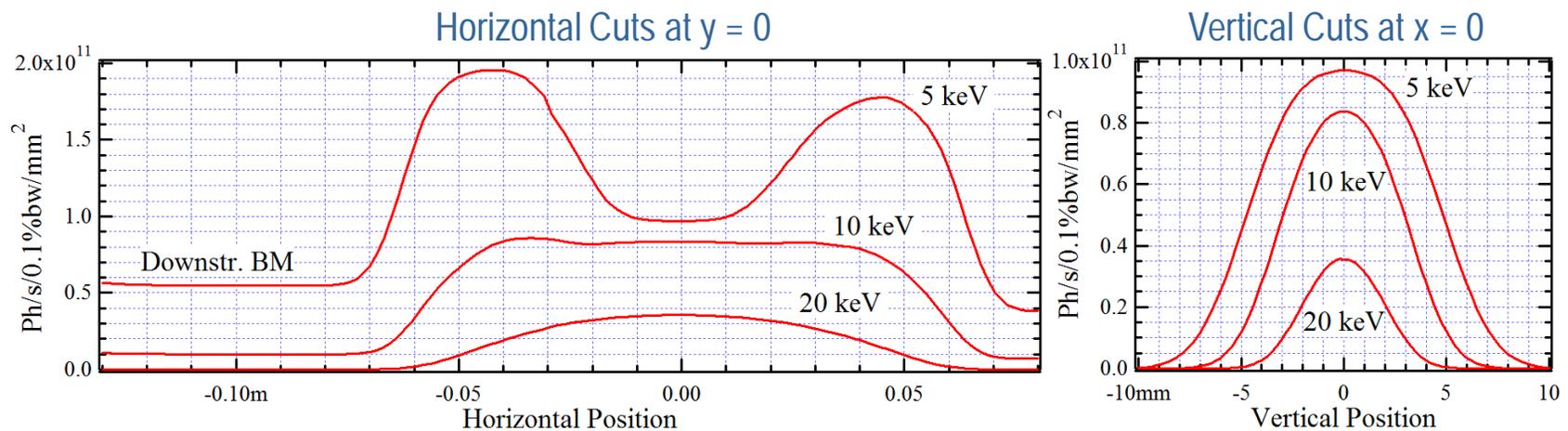
# TPW and BM Radiation Intensity Distributions (Hard X-rays)

Intensity Distributions at Different Photon Energies at 30 m from TPW



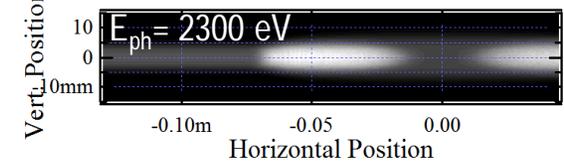
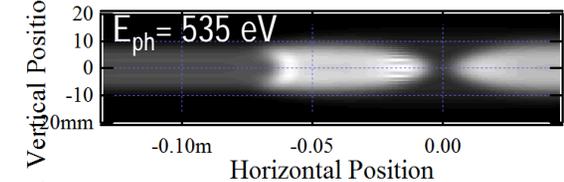
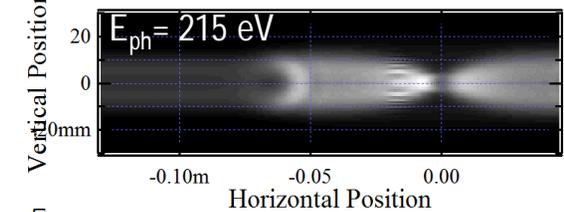
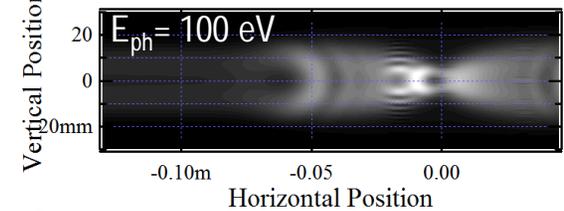
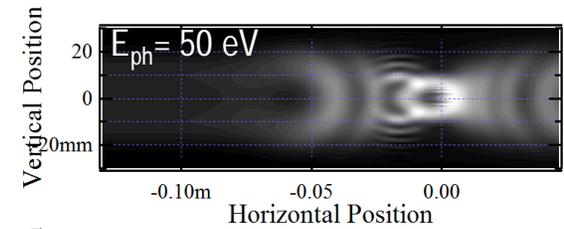
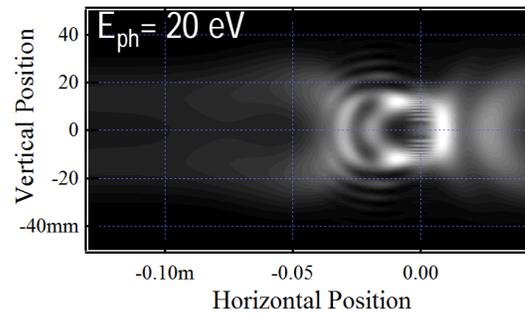
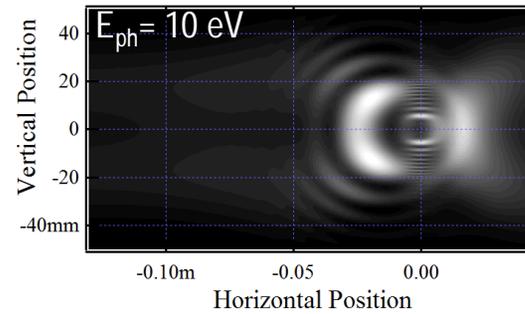
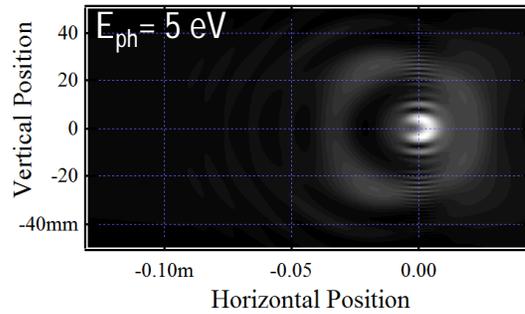
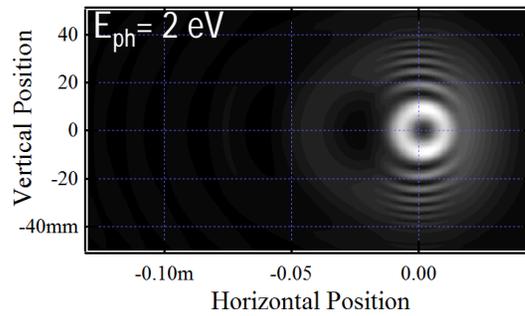
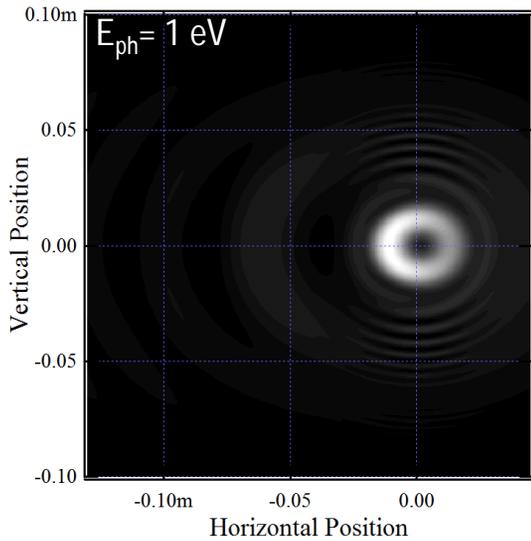
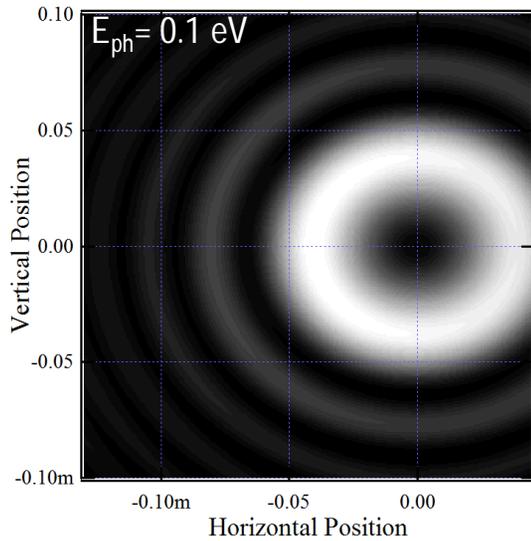
*TPW Field taken from magnetic simulations, assuming that TPW will be constructed out of spare DW magnets; BM Field taken from magnetic measurements on a prototype BM with "nose".*

Electron Current: 0.5 A



# TPW+BM Radiation Intensity Distributions (IR to Soft X-Rays)

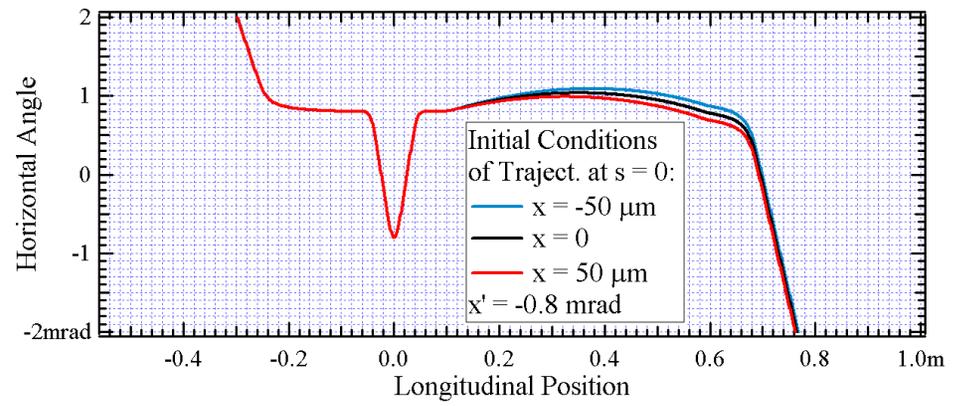
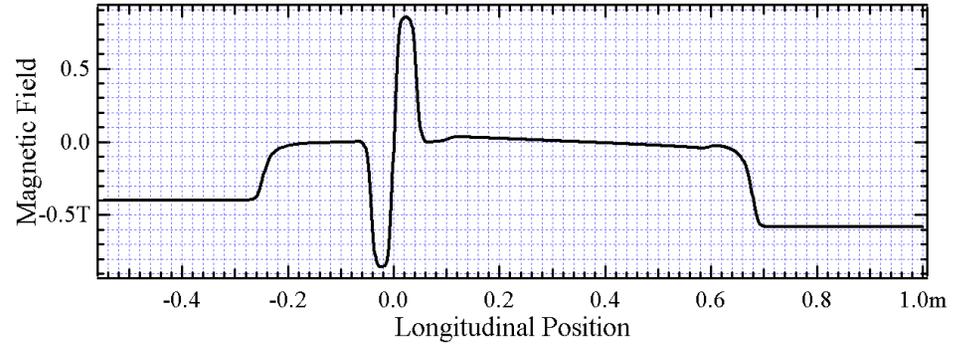
Observation Distance: 30 m  
(from TPW center)



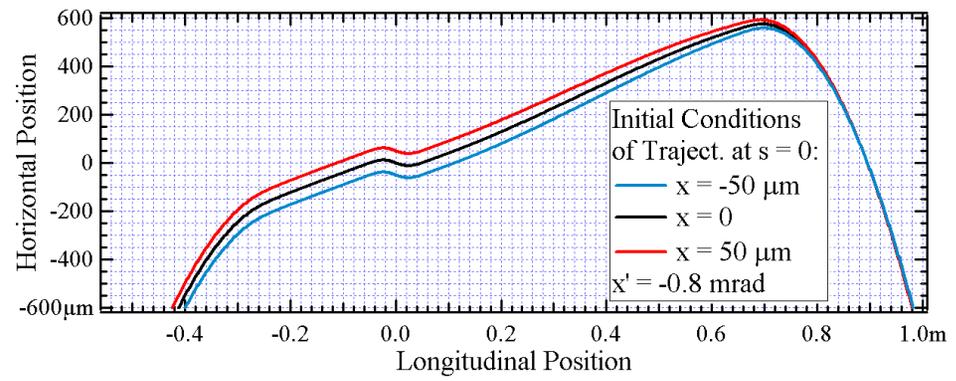
*TPW Field taken from magnetic simulations,  
assuming that TPW will be constructed out of  
spare DW magnets;  
BM Field taken from magnetic measurements  
on a prototype BM with “nose”.*

# Magnetic Field and Electron Trajectories in ESRF-U 2PW (option)

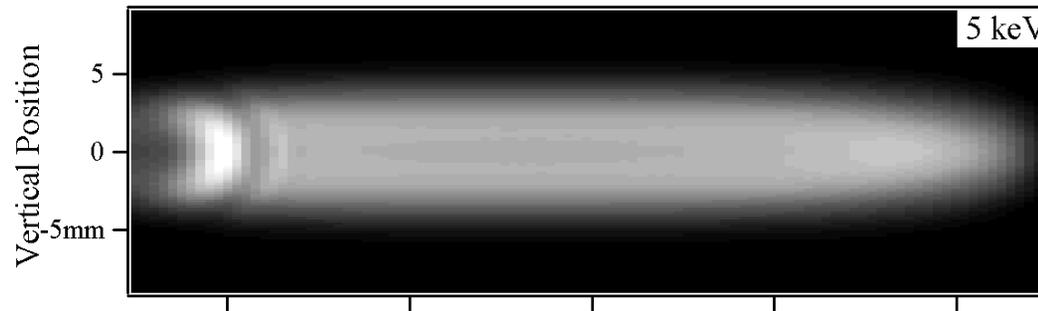
Magnetic Design  
by J. Chavanne



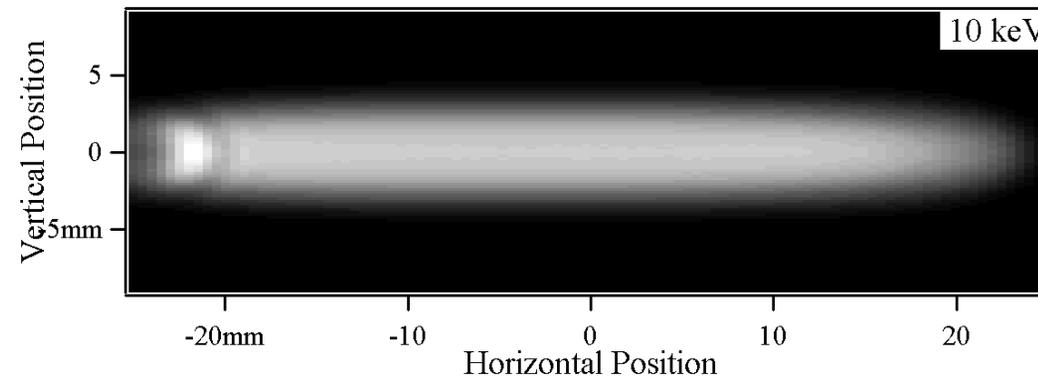
Quadrupole  
Lens is included  
into analysis  
(under testing)



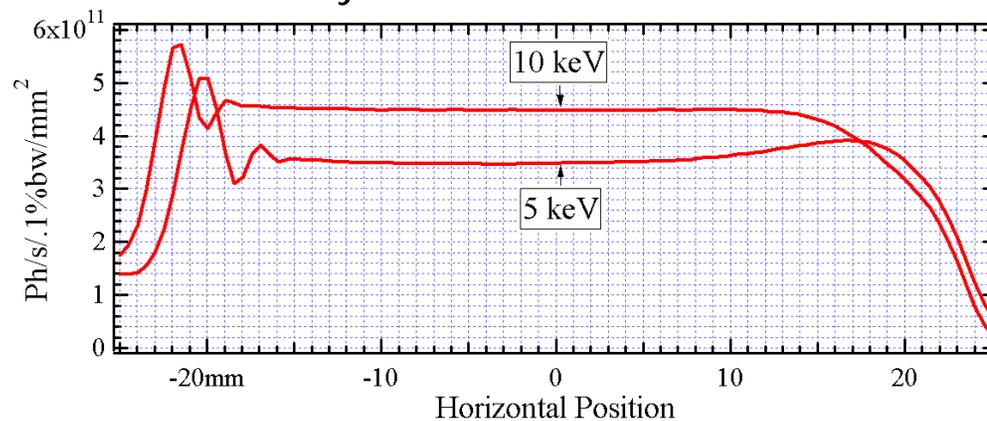
# Intensity Distributions of Monochromatic Radiation from ESRF-U 2PW in Projection Geometry



Observation Distance:  
 $R = 30$  m



### Cuts by Horizontal Median Plane



### Cuts by Vertical Plane (x = 0)

